

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231**
**Docket No. AM9-97-120
(PATENT)**

#3

SIR:

APR 13 1998

 Transmitted herewith for filing in the Application of: S. Chakrabarti et al. Serial No.: 08/947,221

Title: METHOD FOR COORDINATING MEMBERSHIP WITH ASYMMETRIC SAFETY IN A DISTRIBUTED SYSTEM

are the following:

___ sheets of formal drawings
 Amendment
 Amendment after Final Rejection
 Request for Reconsideration of Final Rejection
 Response to Restriction Requirement
 Letter to Drawing Review Branch
 Certificate of Correction
 Other (specify)

Information Disclosure Statement
 ✓ Declaration and Power of Attorney
 ✓ Assignment of the Invention (\$40.00)
 ✓ Notice to File Missing Parts (\$130.00)
 Petition for Extension of Time
 Issue Fee (\$1,290.00)
 Notice of Appeal (\$300.00)
 Appeal Brief (\$300.00)

NO ADDITIONAL FEE IS REQUIRED

OTHER THAN A SMALL ENTITY	Claims Remaining After Amendment	Highest No. Previously Paid for	Extra	Rate	Additional Fee
SUBTOTAL FROM ABOVE					\$170.00
TOTAL CLAIMS				× 22 =	
INDEPENDENT CLAIMS				× 80 =	
MULTIPLE DEP. CLAIM PRESENTED				+260 =	
				TOTAL	\$170.00

- ✓ Please charge my Deposit Account No. 09-0441 in the amount of \$170.00. A duplicate copy of this sheet is attached.
 ✓ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or any patent application processing fees under 37 CFR 1.17 in association with this communication or credit any overpayment to Deposit Account No. 09-0441. A duplicate copy of this sheet is attached.

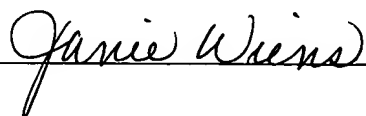
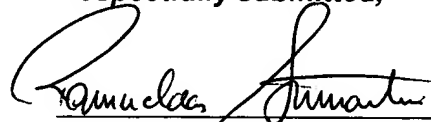
CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231

Date of Deposit: April 8, 1998

Person mailing paper/fee: Janie Wiens

Signature


Respectfully submitted,


Romualdas Strimaitis (#35,697)
 Attorney for Applicant(s)
 Telephone (408) 927-3360

IBM Corporation
 Intellectual Property Law
 Dept. C4TA/J2B
 650 Harry Road
 San Jose, CA 95120-6099

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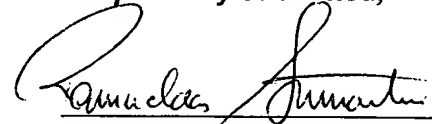
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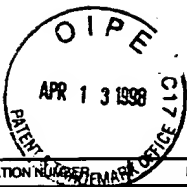
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Respectfully submitted,


Romualdas Strimaitis (#35,697)
Attorney for Applicant(s)
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IBM Corporation
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San Jose, CA 95120-6099



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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00/947,221 10/08/97 CHAKRABARTI S APR 97-120

0252/0211

JAMES C. PANTNER
IBM CORPORATION
DEPT. 04TA/J2B
550 HARRY ROAD
SAN JOSE CA 95120-6099

NOT ASSIGNED

DATE MAILED: 0771

02/11/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

04/16/1998 ANSH1 00000041 090441-08847221
01 FC-105 130.00 CH Processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

D. Webb
Customer Service Center
Initial Patent Examination Division (703) 308-1202